

Notice of References Cited

Application/Control No.

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Applicant(s)/Patent Under

Reexamination

GINNSBERG, PHILIP M.

Examiner

HARISH T. DASS

Art Unit

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U.S. PATENT DOCUMENTS

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A US-2002/0169703	11-2002	LUTNICK et al.	705/37
	B US-			
	C US-			
	D US-			
	E US-			
	F US-			
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	H US-			
	I US-			
	J US-			
	K US-			
	L US-			
	M US-			

FOREIGN PATENT DOCUMENTS

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	N				
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NON-PATENT DOCUMENTS

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U BT "ONLINE FIRMS BEGINNING TO PROVIDE RETAIL INVESTORS WITH OPTION TO DIRECT ORDERS"; Securities Week; January 18, 1999 (SECTION: SPECIAL SECTION; SECURITIES INDUSTRY INTERNET UPDATE; Vol. 26, No. 3; Pg. 5)
*	V Lucas- Daxim L. Lucas "Trading from wherever, whenever (Online stock investments)"; BusinessWorld; August 20, 2001, Monday
*	W Pat Cifaldi "With Globex, Brokers Could Be Trading in a Market That Never Sleeps"; The Business Journal-Milwaukee; September 19, 1992 (SECTION: Vol 9; No 50; Sec 2; pg 10; Milwaukee; WI; US).
	X IRS releases rough outline of global trading tax deals; Pratt, torn.; The investment Dealers' Digest: IDD. New York, Apr 18, 1994.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a))
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